

UNITED STATES DISTRICT COURT  
WESTERN DISTRICT OF WASHINGTON  
AT TACOMA

ROBERT RAY LOCKE,

Plaintiff,

V.

PIERCE COUNTY SHERIFF, VINCE  
GOLDSMITH, MARY SCOTT, MARK  
LINDQUIST, and SUSAN SERKO,

## Defendants.

No. 11-5754 BHS/KLS

## ORDER TO AMEND OR SHOW CAUSE

This matter has been referred to Magistrate Judge Karen L. Strombom pursuant to 28 U.S.C. § 636(b)(1), Local Rules MJR 3 and 4. Plaintiff has been granted leave to proceed *in forma pauperis*. Upon review of Plaintiff's proposed complaint (ECF No. 1), the Court finds that the complaint is deficient and declines to serve it. Plaintiff is advised and ordered as follows:

## DISCUSSION

Under the Prison Litigation Reform Act of 1995, the court is required to screen complaints brought by prisoners seeking relief against a governmental entity or officer or employee of a governmental entity. 28 U.S.C. § 1915A(a). The court must dismiss a complaint or portion thereof if the prisoner has raised claims that are legally “frivolous or malicious,” that fail to state a claim upon which relief may be granted, or that seek monetary relief from a defendant who is immune from such relief. 28 U.S.C. §§ 1915A(b)(1), (2) and 1915(e)(2); See *Barren v. Harrington*, 152 F.3d 1193 (9th Cir. 1998).

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1 A complaint is legally frivolous when it lacks an arguable basis in law or fact. *Neitzke v.*  
2 *Williams*, 490 U.S. 319, 325 (1989); *Franklin v. Murphy*, 745 F.2d 1221, 1227-28 (9th Cir.  
3 1984). The court may, therefore, dismiss a claim as frivolous where it is based on an  
4 indisputably meritless legal theory or where the factual contentions are clearly baseless. *Neitzke*,  
5 490 U.S. at 327. A complaint or portion thereof, will be dismissed for failure to state a claim  
6 upon which relief may be granted if it appears the “[f]actual allegations . . . [fail to] raise a right  
7 to relief above the speculative level, on the assumption that all the allegations in the complaint  
8 are true.” See *Bell Atlantic, Corp. v. Twombly*, 127 S.Ct. 1955, 1965 (2007)(citations omitted).  
9 In other words, failure to present enough facts to state a claim for relief that is plausible on the  
10 face of the complaint will subject that complaint to dismissal. *Id.* at 1974.

12 The court must construe the pleading in the light most favorable to plaintiff and resolve  
13 all doubts in plaintiff’s favor. *Jenkins v. McKeithen*, 395 U.S. 411, 421 (1969). Although  
14 complaints are to be liberally construed in a plaintiff’s favor, conclusory allegations of the law,  
15 unsupported conclusions, and unwarranted inferences need not be accepted as true. *Id.* While the  
16 court can liberally construe plaintiff’s complaint, it cannot supply an essential fact an inmate has  
17 failed to plead. *Pena*, 976 F.2d at 471 (quoting *Ivey v. Board of Regents of Univ. of Alaska*, 673  
18 F.2d 266, 268 (9th Cir. 1982)). Unless it is absolutely clear that amendment would be futile,  
19 however, a pro se litigant must be given the opportunity to amend his complaint to correct any  
20 deficiencies. *Noll v. Carlson*, 809 F.2d 1446, 1448 (9th Cir. 1987).

22 On the basis of these standards, Plaintiff has failed to state a claim upon which relief can  
23 be granted. Plaintiff purports to sue the “Pierce County Sheriff,” the director of the Pierce  
24 County Jail Clinic, a nursing supervisor, the Pierce County Prosecutor and a Pierce County  
25 Superior Court Judge. ECF No. 1. Plaintiff claims that he was maliciously prosecuted by the

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1 prosecutor and judge in Pierce County Case No. 11-1-00452-1. He claims that the jury reversed  
2 their unanimous finding of “not guilty” based on the Judge’s instructions. *Id.* at 3. Plaintiff also  
3 claims that he was subjected to approximately 141 days of cruel and unusual punishment at the  
4 Pierce County Jail because he was denied medications and a second mattress to alleviate pressure  
5 on his back and hips. *Id.* Plaintiff seeks \$20,000.00 in “monetary restitution.” *Id.* at 4.

6 **A. Malicious Prosecution – Claims Against Prosecutor and Judge**

7 “To maintain an action for malicious prosecution, the plaintiff must allege and prove the  
8 following: (1) that the prosecution claimed to have been malicious was instituted or continued by  
9 the defendant; (2) that there was want of probable cause for the institution or continuation of the  
10 prosecution; (3) that the proceedings were instituted or continued through malice; (4) that the  
11 proceedings terminated on the merits in favor of the plaintiff, or were abandoned; and (5) that the  
12 plaintiff suffered injury or damage as a result of the prosecution. *Bender v. City of Seattle*, 99  
13 Wn.2d 582, 593 (1983).

14 Plaintiff states that a jury found him guilty in Pierce County Superior Court Case No. 11-  
15 1-00452-1. However, he does not allege that the proceedings against him were terminated on the  
16 merits in his favor or were abandoned.

17 In order to recover damages for an alleged unconstitutional conviction or imprisonment,  
18 or for other harm caused by actions whose unlawfulness would render a conviction or sentence  
19 invalid, a § 1983 plaintiff must prove that the conviction or sentence has been reversed on direct  
20 appeal, expunged by executive order, declared invalid by a state tribunal authorized to make such  
21 determination, or called into question by a federal court’s issuance of a writ of habeas corpus, 28  
22 U.S.C. § 2254. *Heck v. Humphrey*, 512 U.S. 477, 486-87 (1994).

1 In addition, prisoners in state custody who wish to challenge the length of their  
2 confinement in federal court by a petition for writ of habeas corpus are first required to exhaust  
3 state judicial remedies, either on direct appeal or through collateral proceedings, by presenting  
4 the highest state court available with a fair opportunity to rule on the merits of each and every  
5 issue they seek to raise in federal court. *See* 28 U.S.C. § 2254(b)(c); *Granberry v. Greer*, 481  
6 U.S. 129, 134 (1987); *Rose v. Lundy*, 455 U.S. 509 (1982); *McNeeley v. Arave*, 842 F.2d 230,  
7 231 (9<sup>th</sup> Cir. 1988). State remedies must be exhausted except in unusual circumstances.  
8  
9 *Granberry, supra*, at 134. If state remedies have not been exhausted, the district court must  
10 dismiss the petition. *Rose, supra*, at 510; *Guizar v. Estelle*, 843 F.2d 371, 372 (9<sup>th</sup> Cir. 1988).  
11 As a dismissal solely for failure to exhaust is not a dismissal on the merits, *Howard v. Lewis*, 905  
12 F.2d 1318, 1322-23 (9<sup>th</sup> Cir. 1990), it is not a bar to returning to federal court after state remedies  
13 have been exhausted.  
14

15 It appears that Plaintiff is requesting monetary compensation for his alleged unlawful  
16 incarceration based on the malicious prosecution of the prosecutor and state trial judge.  
17 However, he fails to allege that the proceedings against him were terminated on the merits in his  
18 favor or were abandoned. Before a prisoner may sue to recover damages for an alleged  
19 unconstitutional conviction or imprisonment, or for other harm caused by actions whose  
20 unlawfulness would render a conviction or sentence invalid, a § 1983 plaintiff must prove that  
21 the conviction or sentence has been reversed on direct appeal, expunged by executive order,  
22 declared invalid by a state tribunal authorized to make such determination, or called into  
23 question by a federal court's issuance of a writ of habeas corpus.  
24

25 Plaintiff is further advised that a state prosecuting attorney who acts within the scope of  
26 his or her duties in initiating and pursuing a criminal prosecution and presenting the State's case

1 is absolutely immune from a suit brought for damages under 42 U.S.C. § 1983, *Imbler v.*  
2 *Pachtman*, 424 U.S. 409, 424, 427 (1976); *Ashelman v. Pope*, 793 F.2d 1072, 1076, 1078 (9th  
3 Cir. 1986) (en banc), “insofar as that conduct is ‘intimately associated with the judicial phase of  
4 the criminal process,’” *Burns v. Reed*, 500 U.S. 478, 486 (1991)(quoting *Imbler*, 424 U.S. at  
5 431). This is so even though the prosecutor has violated a plaintiff’s constitutional rights, *Broom*  
6 *v. Bogan*, 320 F.3d 1023, 1028-29 (9th Cir. 2003), or the prosecutor acts with malicious intent,  
7 *Genzler v. Longanbach*, 410 F.3d 630, 637 (9th Cir.), cert. denied, 546 U.S. 1031, 126 S.Ct. 736,  
8 546 U.S. 1031, 126 S.Ct. 737, 546 U.S. 1032, 126 S.Ct. 749 (2005); *Ashelman*, 793 F.2d at 1078.  
9

10 In addition, judges are absolutely immune from liability for damages in civil rights suits  
11 for judicial acts performed within their subject matter jurisdiction. *Stump v. Sparkman*, 435 U.S.  
12 349, 356 (1978); *Ashelman v. Pope*, 793 F.2d 1072, 1075 (9th Cir. 1986) (en banc); *Schucker v.*  
13 *Rockwood*, 846 F.2d 1202, 1204 (9th Cir. 1988) (per curiam).  
14

15 Plaintiff has failed to state a cognizable claim of malicious prosecution.

16 **B. Eighth Amendment – Medical Care**

17 Plaintiff is advised that to state a claim for denial or insufficient medical care under the  
18 Eighth Amendment, he must allege a serious medical need and that defendants were deliberately  
19 indifferent to those needs. Deliberate indifference to an inmate’s serious medical needs violates  
20 the Eighth Amendment’s proscription against cruel and unusual punishment. *Estelle v. Gamble*,  
21 429 U.S. 97, 105 (1976). Deliberate indifference includes denial, delay or intentional  
22 interference with a prisoner medical treatment. *Id* at 104-05. To succeed on a deliberate  
23 indifference claim, an inmate must demonstrate that the prison official had a sufficiently culpable  
24 state of mind. *Farmer v. Brennan*, 511 U.S. 825, 836 (1994). A determination of deliberate  
25 indifference involves an examination of two elements: the seriousness of the prisoner’s medical  
26

1 need and the nature of the defendant's response to that need. *McGuckin v. Smith*, 954 F.2d 1050  
2 (9<sup>th</sup> Cir. 1992). A "serious medical need" exists if the failure to treat a prisoner's condition  
3 would result in further significant injury or the unnecessary and wanton infliction of pain  
4 contrary to contemporary standards of decency. *Helling v. McKinney*, 509 U.S. 25, 32-35;  
5 *McGuckin*, 954 F.2d at 1059. Second the prison official must be deliberately indifferent to the  
6 risk of harm to the inmate. *Farmer*, 511 U.S. at 834. To withstand summary dismissal, a  
7 prisoner must not only allege he was subjected to unconstitutional conditions, he must allege  
8 facts sufficient to indicate that the officials were deliberately indifferent to his complaints. *Id.*

9  
10 Differences in judgment between an inmate and prison medical personnel regarding  
11 appropriate medical diagnosis and treatment are not enough to establish a deliberate  
12 indifference claim. *See Sanchez v. Vild*, 891 F.2d 240, 242 (9th Cir. 1989). Further, mere  
13 indifference, medical malpractice, or negligence will not support a cause of action under the  
14 Eighth Amendment. *Broughton v. Cutter Lab.*, 622 F.2d 458, 460 (9th Cir. 1980).

15  
16 Thus, Plaintiff must provide factual allegations to describe his claim, including the nature  
17 of his condition, which defendant denied him care or provided inappropriate care for his  
18 condition, and when this occurred. In this regard, Plaintiff is also advised that under 42 U.S.C. §  
19 1983, claims can only be brought against people who personally participated in causing the  
20 alleged deprivation of a right. *Arnold v. IBM*, 637 F.2d 1350, 1355 (9<sup>th</sup> Cir. 1981). Neither a  
21 State nor its officials acting in their official capacities are "persons" under section 1983. *Will v.*  
22 *Michigan Dept. of State Police*, 491 U.S. 58, 71 (1989). Additionally, a defendant cannot be  
23 held liable under 42 U.S.C. § 1983 solely on the basis of supervisory responsibility or position.  
24 *Monell v. New York City Dept. of Social Services*, 436 U.S. 658, 694 n.58 (1978). A theory of  
25

26  
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1 *respondeat superior* is not sufficient to state a § 1983 claim. *Padway v. Palches*, 665 F.2d 965  
2 (9th Cir. 1982).

3 To establish liability against Pierce County under § 1983, a plaintiff must show that (1)  
4 he was deprived of a constitutional right; (2) the county has a policy; (3) the policy amounts to  
5 deliberate indifference to plaintiff's constitutional rights; and (4) the policy is the moving force  
6 behind the constitutional violation. *Oviatt v. Pearce*, 954 F.2d 1470, 1474 (9th Cir.1992). The  
7 unconstitutional acts of a government agent cannot, standing alone, lead to liability against a  
8 county; further, there is no *respondeat superior* liability under § 1983. *Monell v. New York City*  
9 *Dept. of Social Services*, 436 U.S. 658, 692 (1978). A county may only be liable where its  
10 policies are the ““moving force [behind] the constitutional violation.”” *City of Canton v. Harris*,  
11 489 U.S. 378, 389, (1989) (quoting *Monell* at 694); *Ortez v. Washington County*, 88 F.3d 804  
12 (9th Cir.1996).

13  
14 Based on the foregoing, Plaintiff has failed to state a cognizable Eighth Amendment  
15 claim for lack of medical care.

16  
17 Due to the deficiencies described above, the Court will not serve the complaint. Plaintiff  
18 may file an amended complaint curing, if possible, the above noted deficiencies, or show cause  
19 explaining why this matter should not be dismissed no later than **December 9, 2011**. If Plaintiff  
20 chooses to file an amended complaint, which seeks relief cognizable under 42 U.S.C. § 1983, his  
21 amended complaint shall consist of a short and plain statement showing that he is entitled to  
22 relief, and he must allege with specificity the following:

23  
24 1) the names of the persons who caused or personally participated in causing the  
25 alleged deprivation of his constitutional rights;  
26  
27 2) the dates on which the conduct of each defendant allegedly took place; and

3) the specific conduct or action Plaintiff alleges is unconstitutional.

Plaintiff shall set forth his factual allegations in separately numbered paragraphs. The amended complaint shall operate as a complete substitute for (rather than a mere supplement to) the present complaint. Plaintiff shall present his complaint on the form provided by the Court. The amended complaint must be legibly rewritten or retyped in its entirety, it should be an original and not a copy, it may not incorporate any part of the original complaint by reference, and it must be clearly labeled the “Amended Complaint” in the caption. Additionally, Plaintiff must submit a copy of the “Amended Complaint” for service on each named defendant.

Plaintiff is cautioned that if the amended complaint is not timely filed or if he fails to adequately address the issues raised herein on or before **December 9, 2011**, the Court will recommend dismissal of this action as frivolous pursuant to 28 U.S.C. § 1915 and the dismissal will count as a “strike” under 28 U.S.C. § 1915(g). Pursuant to 28 U.S.C. § 1915(g), enacted April 26, 1996, a prisoner who brings three or more civil actions or appeals which are dismissed on grounds they are legally frivolous, malicious, or fail to state a claim, will be precluded from bringing any other civil action or appeal in forma pauperis “unless the prisoner is under imminent danger of serious physical injury.” 28 U.S.C. § 1915(g). **The Clerk is directed to send Plaintiff the appropriate form for filing a 42 U.S.C. 1983 civil rights complaint. The Clerk is further directed to send a copy of this Order and a copy of the General Order to Plaintiff.**

DATED this 10th day of November, 2011.

✓ 611-1

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**Karen L. Strombom**  
**United States Magistrate Judge**